



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Albert R. DiPiero et al.**

Filed: November 21, 2001

Application No.: 09/990,123

For: **HEALTH PLAN MANAGEMENT METHOD AND APPARATUS**

Attorney Docket No: 40920/1:2



TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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Enclosed for filing in the above-referenced application are the following:

- ☒ Information Disclosure Statement
- ☒ PTO 1449
- ☒ International Search Report
- ☒ (6) Cited References
- ☒ Return postcard

The United States Patent and Trademark Office is hereby authorized to charge any additional fees which may be required in connection with filing of these papers, or credit overpayment, to Account No. 19-4455. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

W. Glen Johnson
Reg. No. 39,525

Date: Oct. 29, 2002

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Attorney Docket No.: 40920/1:2

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE SHOWN BELOW:

Jennifer Becker
(Typed or Printed Name of Person Signing Certificate)

J. Becker
(Signature)

10/29/02
(Date)



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INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

Pursuant to their duty of disclosure, applicant encloses copies of the documents listed on the accompanying Form PTO-1449.

1. This information disclosure statement is being submitted:

- a. ☒ Within three months of the filing date of the above-identified application or within three months of the date of entry of the national stage, or before the mailing date of the first Office action on the merits, whichever event occurs last. (No statement under 37 CFR 1.97(e) is required.)
- b. ☐ After the period set forth in paragraph 1a, but before the mailing date of either a final action or a notice of allowance. (Check box i. or ii.)
 - i. A \$240.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed.
 - ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below.
- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of an issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. A petition requesting consideration of the information disclosure statement and the \$130.00 petition fee set forth in 37 CFR 1.17(i) are enclosed.

2. ☒ The attorney or agent signing below hereby states that:


- ☒ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a

counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

By

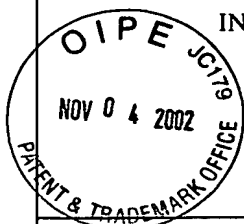

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
40920/1:2APPLICATION NO.
09/990,123INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

APPLICANTS

Albert R. DiPiero

FILING DATE

11/21/2001

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U.S. PATENT APPLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 2001/0037223 A1	11/01/01	Beery et al.	705	4	01/09/01
	AB	US 2001/0037214 A1	11/01/01	Raskin et al.	705	2	03/05/01
	AC	US 2001/0051906 A1	12/13/01	Esposito	705	35	05/01/01

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AD	6 0 4 4 3 5 2	03/28/00	Deavers	705	4	
	AE	6 0 6 7 5 2 2	05/23/00	Warady et al.	705	2	
	AF	6 1 0 8 6 4 1	08/22/00	Kenna et al.	705	35	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AG						
	AH						
	AI						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AJ	
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.